

Catalysis Research Data: Structures, Workflows, and Repositories Results TA1 – TA4

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The NFDI4Cat Consortium







The NFDI4Cat Consortium Governance











Core Development Topics of NFDI4Cat

- TA1: Ontology Development and Metadata Standards
- TA2: Data Standards, Data Collection, Interfaces
- TA3: Data Analysis, Quality Management and Re-Use
- TA4: Linked Extensible Infrastructure and Access Management

- TA5: Dissemination and Outreach/ Training
- TA6: Networking with NFDIs, SFBs and International
- TA7: Intellectual Property and Confidentiality, Licences and Reward models
- TA8: Management

Data & Meta Data Standards Data Science & Information Infrastructure Design

Community & User-related Aspects





Task Area 4



TA4: Linked Extensible Infrastructure and Access Management



- Requirements analysis
 - Requirements elicitation, based on stakeholder interviews
 - Requirements document
- Next steps
 - Architecture document,
 - Software evaluation, pilot system





TA4: Agenda and Overview

- Measures
 - **1.** Initial phase
 - **1.** Requirements Analysis
 - 2. Software Evaluation
 - 3. Pilot System
 - 2. Development phase
 - 4. Repository Layer
 - 5. Presentation Layer
 - 6. Additional Services
 - 7. User Access & User Management
 - 3. Evaluation phase
 - 8. Operations
 - 9. Sustainability of the Infrastructure
 - 10. Specification Review &
 - **11**. User Acceptance Tests







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Requirements Elicitation & Analysis

Proceeding

- Requirements elicitation
 - Interviews with partners
 - Definition of personas, epics and user stories
- Requirements analysis
 - Requirements document
 - Architecture document



Excerpt of the Proposal of NFDI4Cat

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Requirements Elicitation

User Interviews

 Interviews of 30 minutes each were conducted with internal prospective users.

Representative research workflows

- For each research step, we jointly identified:
 - input, i.e., all that needs to be present in advance (including equipment);
 - output, *i.e.*, all that is generated as an outcome of the research step.

Competency questions

 Useful for deriving requirements and for TA1 ontology development and metadata standards.



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User Interviews (I)





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Representative research workflows

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Requirements Analysis – Personas

Personas

- To represent the user groups of the platform
- Characterized by name, age, profession, their overall aim and frequency in using the platform, and their proficiency in using computers and software

Role: Scientific Dat	a Officer	
	Name: Julia Huber	
	Age: 32	Scientist Local Administrator
	 scientific data officer proficient in using software no intent on scientifically using the platform uses the platform for managing confidentiality restrictions and is involved in the clearance process of research data trough the platform 	Developer Scientific Data Officer Externals



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NFDI4(at Requirements Analysis – Epics and User Stories

User stories

A user story is a concept from software development that describes a "functionality that will be valuable" for the user in an actionable way.

Epics

 Some user stories describe a very complex functionality which can be divided into multiple smaller user stories and are called epics.

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As a [description of user], I want [functionality] so that [benefit].





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Requirements Analysis – Epics Map

Meta Portal	Repository	Storage Harvester	Repository Harvester	Data Security	Metadata	Non-functional Requirements	Components
GUI	FAIR Data Preservation	Data Ingest	Agreement on APIs	Permission Managment Tool	Standardized Annotation	Teaching Materials	Epics
Exploration Tools	Multipurpose Storage and Processing	Unified API for Data Accessing	Harvester API	Technical Security Measures	Standardized Formats and (Meta)Data Schemas	Crediting Researchers	
Analysis Tools	Versioning	Interoperation with various Software Tools		Separation of confidential Data	In-depth Documentation	Performance	
Community Tools	GUI and API			Legal Constraints	Support complex Asserions and	GUI Usability	
Interactive Dashboard	Central Storage			Cool-off- and Data- ownership-models	Queries Providing additional	Seamless Integration into Workflow	
Quality Assessement Tool	Data Export				Information Quality Assurance		
Reward System	Data Publishing	Analysis and Visualization Tools			Tools		
	Data Managment	Data Exploration Tools			Userfriendly way of providing metadata		
	API for Management of preexisting Data Servers	Working Spaces					



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Requirements Document

Following an agile approach

- Iterative extension of requirements document by incorporating user feedback
- Total of ~ 230 epics and user stories

Interoperability and archi analysis and metadata st research data infrastru M. Horsch [800-002-0464-873], r. V. Kushnareho [900-002-0464-873], r. V. Kushnareho [900-003-027-340], g. N. Kokhama ¹³ (900-003-027-340], g. N. Kokhama ¹³ (900-003-027-340), g. N. Kokhama ¹³ (900-003-027-040), g. ¹ High Performance Computing Conter 7500 Stuttget (sartin, horesch, texas, persiste, subject 1 High Performance Computing Conter 7500 Stuttget (sartin, horesch, texas, persiste, subject 8 ³ UTD Dormad Ulavershi, Department of 1 Laboratory of Equipment Design, Emil Fig (a) exaster, subject, sarter, tex Related Science (NTDMCdc) is one of within the German Instand research the digitalization of al scientific research within the German Instand research the and indicated by the German federal the digitalization of al scientific research of a science in accordance with the P on initial automate motion the XPD162 on initial automate material and exploring the	tandardization for a
nize, and present the collected requirer the basis of metadata is standards for re- requirements for domain contologies in v Keywords: Research data infrastruct 1 Introduction The German national research data infra tative supported by the German federal the digitalization of all scientific research - benefit association (NFDI e.V.) and is adv	The NFDI is a German national initiative that aims to develop repositories, tools, standards, and best practices for research data management across all scientific disci- plines. Unit 2022, approximately 30 consortia will be formed under the unbrella of the NFDI e.V. association. NFDI for Catalysis-Related Sciences (NFDIACat) is one of these consortia, which targets research data management for cathysis-related sci- ences, a field that is of strategic importance for the economy and society as a whole. In this paper, we give a brief overview of the consortium and introduce its planned local and overarching data infrastructures. We further describe our approach for requirements elicitation and analysis, and provide some first insights on our findings.
Current publications	requirements elicitation and analysis, and provide some first insights on our findings. Introduction Catalysis is one of the key technologies for tackling challenges related to climate change. This research field is investigating the acceleration of chemical transformation by using a catalyst to increase the reactions efficiency and minimize unwanted side products at the same time. Each advancement in this catalytic research is an essential foundation for addressing problems like advancement in this catalytic research is to feed the workle population or improving the valorization of plastic waste. The field of catalytic research is highly interdisciplinary covering to be, electro., photo, heterogeneous and homogeneous catalysis are used sciences are currently facing some problems resulting in a slowdown of research advancement. There are many different companies and institutes working on catalysis are research by the soft the similar table population or instantion is and experiments tabe place in isolation, resulting in the propertient of the simulations. There is a lack of standardization regarding the
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Requirements Analysis – TA1 - TA4

As a *scientist*,

I want that metadata standards support an in-depth documentation of data sets so that other researchers can work with my data.

TA1: Ontology Development and Metadata Standards

TA2: Data Standards, Data Collection, Interfaces

As a **developer**, I want an interface that provides access to the available data sets so that I can harvest the content.

As a scientific data officer, *I want algorithms for the automatic evaluation of the quality of data sets* so that *I can facilitate data quality assurance*.

TA3: Data Analysis, **Quality Management** and Re-Use

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TA4: Linked Extensible Infrastructure and **Access Management**

As a *scientist*,

I want a meta data portal that provides access to different repositories so that I can easily search for specific data sets.

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Task Area 1



- overview about existing ontologies
- workflow to match existing ontologies

next steps

- workflow to extend ontologies from existing metadata standards
- gathering shared vocabularies





Task Area 1: Work Plan / Partners

Develop/extend ontologies for (M1-6)	catalyst synthesis data catalyst performance data reactor engineering	Partners (Year 1)
Metadata standards for (M8-12)	catalysis-specific characterisation data operando data process engineering	- HLRS - KIT - LIKAT
		MPI-CECMPI-DCTSFAU
Development of basic pilot (M1)		- RWTH - TUBS
	 for consolidated metadata standards 	- TUDO - TUM
Development of extended pilot (M2)		- UHGW





Ontologies – How can we use them?

- Ontologies consist of a network of information with logical relations
- Interconnect (meta) data
- Different data types readable for humans
- Aim: Machine- and human-readable (meta) data
- Information in triplets



Unified data formats through *ontologies* and standardized *metadata schemes*













Quest of top-level ontology

- Domain and task unspecific ontology, i.e. does not contain any chemical, physical, etc. specific terms
- Most abstract layer of an ontology
- Define e.g. spatial and temporal relations, physical and abstract objects, ...

Top-level ontologies allow for reuse, interoparability, matching





Subgroups for Ontology Development

 Ontology development and refinement of core ontologies in three subgroup topics



Determination of core domain and top-level ontologies important



The way to ontologies

- Many steps with domain and IT knowledge
- NFDI4Cat: most users are domain experts, not ontologists
- Setup catalysis specific SKOS





Current workflow of NFDI4Cat – TA1



Existing

- Existing ontologies gathered
 Clustered by topics of catalysis research
 - Template for domain experts (similar to VocExcel) Workflow for automating SKOS generation
- Ontologies for catalysis research
- Extended Ontologies
- Extended by concepts of community





Ontology collection

- Now as website containing short introduction to ontologies!
- Link to ontology documentation and files
- Sort Ontologies by tags relevant to digital value chain in catalysis



https://nfdi4cat.org/services/ontology-collection







First Example of an Ontology Extension

- Laboratory trials investigating Biocatalysis
- Extension of existing Ontologies by needed concepts

Knowledge graph with raw experimental data in Database







[1] Eroglu, Masterthesis, AG Apparatedesign, Technische Universität Dortmund, 2021

[2] Marquardt W, Morbach J, Wiesner A, Yang A. OntoCAPE. Berlin, Heidelberg: Springer Berlin Heidelberg; 2010





Workflow – Analysis of data

- Collecting of objects and concepts to be modelled
- Analysis of lab trials
 - Physical components of experimental setup
 - Functional aspects of experiment
 - Classes, Relations, Individuals

Analysis of laboratory trials and experimental data	Extended Ontology	

Experir	nen	ts to t	be Mo	delled					
				aonoa		Trial	n _{turns} [-]	C _{laccase} [g/l]	C _o
2 different	t CFI ge	eometries				1	3	0,2	
2 different	t enzvm	ne concent	rations			2	10	0,2	
		n concentr				3	3	0,8	
5 dilleren	l oxyge	II concerni	ation		[1]	4	10	0,8	
Parameter	Unit	Value 1	Value 2	Value 3	[1]	5	3	0,2	
n /n		3/13		10/3		6	3	0,2	
n _{turns} /n _{bends}	-	5/15	-	10/5		7	10	0,2	
C _{laccase}	g/l	0,2	-	0,8		8	10	0,2	
	%	3	7	10		9	3	0,8	
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📫 12 differe	ent expe	eriments				11	10	0,8	
•						12	10	0,8	





Workflow – Extension of ontologies

 Extension with classes, individuals, relations and attributes modelling the experiments



Analysis of laboratory trials and

experimental data

Extended Ontology



Workflow – Reasoning

- Checking for inconsistencies using reasoner HermiT
- **Revision of ontology**

Extended

ontology

Data 😂 🎞

Database

Obtain extended ontology







Task Area 2



- definition of data standards for catalyst performance data
- development of data connections tools
- evaluation of different ELNs
- next steps:
 - coupling ELNs and data connections tools





Partners

(Year 1)

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HLRS

LIKAT

Task Area 2: Work Plan / Partners

Data standards (M1-6)

Data collection tools (M8-12)

catalyst synthesis data catalyst performance data

reactor engineering catalysis-specific characterisation data operando data process engineering

Development of local pilots (M13-17)

specific pilots, cross-cutting pilots

Interface specification for local repositories (M7)

- FAU - RWTH

KIT

- TUB
- TUDo

20.01.2022



M1-6 Data standards: ELNs Task Force Overview



- Purpose:
 - To understand and document the requirements, user concerns and organizational concerns in implementing an ELN for catalysis research
- Activities:
 - Monthly meetings
 - Distribute and analyze user surveys
 - Organize ELN testing
 - Discuss with organizations who already use ELNs





M1-6 Data standards: ELNs from the User's Perspective

User Stories

User Concerns

- As a researcher, I want:
 - easy to use tool
 - link experiments with results
 - find prior research
 - access from anywhere
 - work collaboratively
 - find chemicals quickly

- 'Will I need to change the way I work?'
- 'Will this ELN be supported long term?'
- 'Can I access the notes if I move to a new organization?'



M2 Data standards for catalyst performance NFDI4 data: Archiving of Catalyst Data including Metadata

Experimentally measured catalyst performance data including metadata

- Fixed bed flow reactor
- Tubular flow reactor
- Monolithic flow reactor

			neactor	aatt								ā	and
		EXPERIMENT	Parameter type of experiment	۰.	Value end-of-pipe	Unit	1	Ρ	erfo	rmar	nce		
		FIXED BED	length diameter total mass bed porosity particle shape		1.07E-01 8.00E-03 0.5 38.1 sphere	m g	481.252	0.050993	CO2 0.041878 0.041886 0.040464	0.00E+00 0 0		0	
			particle diameter particle thermal conductivity radial thermal conductivity surface area to volume ratio material density	1*	6.30E-04	m W/m K W/m K 1/m kg/m ³	688.944 746.846 806.007	0.023335 0.025841 0.032097	0.033865 0.031192 0.029769 0.027811 0.024959	0.003335 0.006376 0.011739	0.007264 0.005662 0.002632	0.018335 0.017805	
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		CATALYST	active catalyst/metal metal loading particle porosity	1.	Nî 20	% %							

Reactor data

Data archive

 Extraction of metadata for setting up input files for models and simulation

title: Fan et al. (CO2) thermal decomposition, 10% Ni/MgAl2
data: ./data_Fan_thermal
view:
label:
x: Twall / K
y: outlet mole fractions
configuration:
driver: detchem_channel
<pre>output: ./mole_fractions</pre>
<pre># output: ./conversions</pre>
parameters:
pressure: 1e5
inlet:
temperature: {\$data: T}
<pre>gas_velocity: {\$data: u}</pre>
mole_fractions:
CO2: 0.16
H2: 0.64
N2: "*"
channel:
length: 1.2e-2
radius: 1.68e-4
<pre>wall_temperature: {\$data: T}</pre>
<pre># f_cat_geo: 10000</pre>
f_cat_geo: 280.4
chem_surf:
initial_integration_step_size: 1e-10
integration_time: 1
absolute_tolerance: 1e-20
relative_tolerance: 1e-6
<pre>max_integration_step_size: le-4</pre>
solver:
initial_integration_step_size: 1e-10
<pre>max_integration_step_size: le-4 grid:</pre>
radial points: 18

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R. Chacku, S. Angeli, D. Schmider, H. Gossler, O. Deutschmann



M9 Data collection tool for catalyst perormance data





H. Gossler et al., PhysChemChemPhys 20 (2018) 10857; Catalysts 9 (2019) 227.





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M8-M12 Data Workflow



Targets:

- Find a suitable ELN
- Testing in the working group
- Accessibility
- Automatisation

Sub-targets:

- Training of students
- Get a "feeling" for data
- Make it attractive

S. Palkovits

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M14 Develop basic local cross-cutting pilots



• Scope:

- A system to support researchers of different catalysis sub-disciplines to locally handle their data
- Goals:
 - Provide benefits to users in daily research routine
 - Linked-data compatible FAIR data storage
 - Enable sharing & publishing via NFDI4Cat meta portal
 - Support complex access control schemes



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M14 Local cross-cutting pilots: LIKAT's approach







- LIKAT internal cross-cutting user survey
 Collection of user stories
- Collection of user stories
- ✓ Review & evaluation of tools landscape
 - Data-repository software -
 - ELN and LIMS systems
 - Data formats
 - Web development technology stacks
- ✓ Decision about technical basis
- Implementation ongoing



M13-17: Development of local pilots: Intranet web application for local RDM





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M13-17: Development of local pilots: BasCat Pilot

General Goals

- Pilot will address the conversion of synthesis gas (CO + hydrogen) into larger hydro carbons and oxygenates.
- Development of methods and software tools for heterogeneous catalysis that support the whole data work flow from defined experimental data, data import, data storage as well as further data processing in terms of curation and visualization.
- Instance of repository for BasCat at FOKUS
- First step:
- Exploration of in-built functionalities:
 - Data import (GUI, API)
 - Data storage
 - Data publishing and permission management
 - Data exploration

asCat Pilot taverse Respository	Search - User Guide Support Sign Up Log In
This repository is only for testing purpose so	o please DO NOT store any confidential data!
The BasCat Pilot Dataverse Repository is a pilot repository for the BasCat serving as test instance for storing catalysis data and for developing new tools and interfaces.	Public data can be browsed while not logged in. If you want to store data within the repository, please log inl
Search BasCat Pilot Dataverse Repository	Search
Copyright © 2021 Privacy Policy	Powered by Dataverse S v 5.6 build 581-1c2d8d8

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M13-17: Development of local pilots: BasCat Pilot



- Import of performance data for storage in BasCat repository (explore import/export functionality)
- Embedding of BasCat tools for processing of performance data (explore data science methods)
- Setting up pilot of NFDI4Cat meta dataportal (piveau)
- Connection of BasCat repository
- Stepwise extension of pilot to cover steps within the work flow (synthesis, characterization, catalytic tests)







Task Area 3



- Overall data workflow concept developed for heterogeneous catalysis research
- Data tools developed as proof-of-concept with local data
- First link established BasCat, CaRMeN, local pilot
- Use case data measured and documented for heterogeneous catalysis



Task Area 3: Work Plan / Partners







repositories

The overall data workflow concept

local source of data (ELN; lab books; other)



- reference catalysts
- plausibility checks ...









Tool development as proof-of-concept









Connecting the tools

Experimentally measured IR Spectrum

- catalyst
- state of catalyst (e.g. prereduced)
- temperature/pressure
- inlet gas composition
- IR Spectrum
- adsorption orientation
- vibrational mode



DFT calculations

- model surface (facet, xyz file)
- state of surface
- temperature/pressure
- inlet gas composition
- Vibrational frequencies for CO adsorption
- adsorption orientation
- vibrational mode

V. R. Naina, S. Wang, D. I. Sharapa, M. Zimmermann, M. Hähsler, L. Niebl-Eibenstein, J. Wang, C. Wöll, Y. Wang, S. K. Singh, F. Studt and S. Behrens, ACS Catal., 2021, 11, 2288–2301.









Connecting the tools

Experimental data/metadata in .yml and .csv data packages



CaRMeN

V. R. Naina, S. Wang, D. I. Sharapa, M. Zimmermann, M. Hähsler, L. Niebl-Eibenstein, J. Wang, C. Wöll, Y. Wang, S. K. Singh, F. Studt and S. Behrens, ACS Catal., 2021, 11, 2288–2301.







Use case: CO hydrogenation on Rh/X/SiO₂



P. Preikschas, J. Bauer, K. Knemeyer, R.Naumann d'Alnoncourt, R. Kraehnert, and F. Rosowski, Catal. Sci. Technol. 2021, 11, 5802-5815







Next steps

- Overall data workflow concept developed applicable to heterogeneous catalysis research
 - extend the concept (higher complexity, other fields of catalysis)
- Data tools developed as proof-of-concept with local data
 - expand the tools (heat and mass transfer, kinetics, design-of-experiments)
 - integrate into local and overarching pilots
- First link established BasCat, CaRMeN, local pilot
 - connect with ELNs, other repositories and data sources
- Use case data measured and documented for heterogeneous catalysis
 - develop ontologies and meta data standards based on this example
 - transform the data into the new standardized structures and formats
 - use for development and testing of ELN, local pilots, overarching infrastructure





Task Area 4



- Requirements analysis
 - Requirements elicitation, based on stakeholder interviews
 - Requirements document
- Next steps
 - Architecture document
 - Software evaluation, pilot system





Agenda and Overview

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 - 1. **Initial phase**
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 - **11.** User Acceptance Tests







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NFDI4Cat Research Data Infrastructure



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NFDI4Cat Local Data Storage







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NFDI4Cat Repository

Repository			
Harvester interface			
Graphical User Interface (GUI)			
Data Import Tool	Data Export Tool	Data Management Tool	
Restriction Management Tool	Analysis Tools	Community Tools	







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NFDI4Cat Portal

Meta portal			
Graphical User Interface (GUI) and Application Programming Interface (API)			
Search and Exploration Tools	Scientific Analysis Tools	Community Tools	
Metrics Tool (Quality Assurance)	General Statistics Tool		



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Architecture Document

- concretization of planned architecture
- detailed description of architecture's components and interfaces based on the collected requirements from the requirements document and open questions which arised during the feedback round of the requirements analysis
- also agile approach
- similar to requirements document interation of document through partners
- -> extension and improvement of document







Measure 2: Software Evaluation

 In the initial phase, HLRS and FOKUS concentrate on evaluating:

> Tools for Repositories & Metadata semantic-logical layer triple stores PID generation

> > *Tools for Lab* electronic lab notebooks





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Measure 3: Pilot System (with TA2/3)

- Pilot system at BasCat@TU Berlin
 - Covers a wide range of aspects
 - Will serve as a blueprint
- Further pilot systems at other institutions
 - May (further) develop their own components
 - May reuse components later on



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Finally

- Requirement analysis with User Stories as starting point
- Ontologies are the key for FAIR data principles
- Electronic Laboratory Notebooks are a main data source
- Data Standards are important for research
- Data Analysis needs integrated quality management
- Repository Infrastructure and Interfaces for Data Access



